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Patent and Trademark Office: US DEPARTMENT OF COMMERCE

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**FEE TRANSMITTAL**

for FY 2002

*'Patent fees are subject to annual revision.'**Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SBO/09-12.***TOTAL AMOUNT OF PAYMENT** (\$ 170.00)**METHOD OF PAYMENT** (check one)

- The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number

18-0013

Deposit Account Name

Rader, Fishman and Grauer PLLC

- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

- Payment Enclosed:

Check     Money Order     Other

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101	740	201	370 Utility filing fee
106	330	206	165 Design filing fee
107	510	207	255 Plant filing fee
108	740	208	370 Reissue filing fee
114	160	214	80 Provisional filing fee

SUBTOTAL (1) (\$ 0.00)

**2. EXTRA CLAIM FEES**

Total Claims	-20=	Extra	Fee from below	=	Fee Paid
Independent Claims	- 3 =		X		0
Multiple Dependent			X		0

\*\*or number previously paid, if greater; For Reissues, see below.

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	
103	18	203	9 Claims in excess of 20
102	84	202	42 Independent claims in excess of 3
104	280	204	140 Multiple dependent claim, if not paid
109	84	209	42 **Reissue independent claims over original patent
110	18	210	9 **Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$0.00

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 170.00)

**SUBMITTED BY**

Typed or Printed Name	Carl Schaukowitch	Complete (if applicable)	
Signature		Reg. No. 29,211	
		Date	March 15, 2002
		Deposit Account User ID	18-0013



NAN-0201

PCT APPLICATION

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the Application of: Confirmation No.: 4654  
EBIHARA et al. PCT/JP00/04179  
Application No.: 10/018,215 Examiner: To be assigned  
Filed: December 18, 2001 Attorney Dkt. No.: NAN-0201  
For: PROCESS FOR ELECTROLYCALLY COLORING ALUMINUM MATERIAL

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS**

**ATTN: BOX MISSING REQUIREMENT**

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

March 15, 2002

Sir:

In response to the Notification of Missing Requirements dated February 4, 2002, enclosed herewith for filing is the executed Declaration for the above-identified patent application.

Please charge Deposit Account No. 18-0013 the surcharge for the late filing of the declaration of One Hundred Thirty Dollars (\$130.00).

03/21/2002 LLANDGRA 00000026 180013 10018215  
01 FC:154 130.00 CH

NAN-0201

PCT APPLICATION

The Commissioner is also authorized to charge any additional fees associated with this communication to Deposit Account No. 18-0013. A duplicate copy of this letter is enclosed for that purpose.

Respectfully submitted,

  
Carl Schaukowitch  
Registration No. 29,211

Rader, Fishman & Grauer PLLC  
1233 20<sup>th</sup> Street, N.W.,  
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Fax: (202) 955-3751

CS/nb

Enclosures: Declaration and Power of Attorney  
Assignment/PTO-1595 Form  
Notification of Missing Requirements Form



## UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box 901  
 United States Patent and Trademark Office  
 Washington, D.C. 20233  
[www.uspto.gov](http://www.uspto.gov)

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/018,215	Ken Ebihara	NAN-0201
INTERNATIONAL APPLICATION NO.		
PCT/JP00/04179		
LA. FILING DATE	PRIORITY DATE	
06/26/2000	06/25/1999	

23353  
 RADER FISHMAN & GRAUER PLLC  
 LION BUILDING  
 1233 20TH STREET N.W., SUITE 501  
 WASHINGTON, DC 20036

CONFIRMATION NO. 4654  
 371 FORMALITIES LETTER  
  
 FOC000000007389945\*

Date Mailed: 02/04/2002

87N 85160-0281 April 4, 2002

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated Office (37 CFR 1.494):

- U.S. Basic National Fees
- Priority Document
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Oath or Declaration
- Request for Immediate Examination

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 20 months months from the priority date (37 CFR 1.492(e)) is required.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice MUST be returned with the response.*

FREDERICK SMITH

Telephone: (703) 305-3654

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/018,215	PCT/JP00/04179	NAN-0201

FORM PCT/DO/EO/905 (371 Formalities Notice)